

Attorney Docket No.: 16869K-099600US

Client Reference No.: 663/SM/mt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SHIGEAKI TANAKA et al.

Application No.: 10/712,558

Filed: November 12, 2003

For: DISK MODULE, AND DISK

ARRAY APPARATUS

Examiner: Unassigned

Art Unit: 3749

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. All cited references are U.S. patent or patent application publications. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the SHIGEAKI TANAKA et al. Application No.: 10/712,558

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undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

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RL:rnh 60331981 v1 OT 1 8 2004 8

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

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	Complete if Known		
Application Number	10/712,558		
Filing Date	November 12, 2003		
First Named Inventor	Shigeaki Tanaka		
Art Unit	3749		
Examiner Name	Unassigned		
Attorney Docket Number	16869K-099600US		

U.S. PATENT DOCUMENTS+						
—		Document Number			•	
Examiner Initials*	Cite No. ¹	Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	1	US-6,754,082	06-22-2004	Ding et al.		
	2	US-6,490,123	12-03-2002	Okunaga et al.		
	3	US-6,373,696	04-16-2002	Bolognia et al.		
	4	US-2002/0015287	02-07-2002	Shao		
, ,	5	US-5,880,955	03-09-1999	Matoba et al.		

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
		Country Code ³	Number⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶

Examiner Signature	Date Considered	

OCT	1 8 2004 22
SATEMA	RANGAMPUGA
	(to be used

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Filing Date	November 12, 2003
First Named Inventor	Shigeaki Tanaka
Art Unit	3749
Examiner Name	Unassigned

10/712,558

Total Number of	Pages in This Submission		Attorney Docket Number	16869K-0	99600US				
ENCLOSURES (Check all that apply)									
Fee Trans	mittal Form		Drawing(s)		After Allowa to Technolo				
F6	ee Attached	Licensing-related Papers			Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): USPTO form SB08A (one page), Return Postcard				
Extension Express A Informatio	Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s)		Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks The Commissioner is authoriz Account 20-1430.						
	to Missing Parts/ e Application								
	esponse to Missing Parts ider 37 CFR 1.52 or 1.53						·		
	SIGNA	TURE	OF APPLICANT, ATTORNE	Y, OR AG	ENT				
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Signature	FICE	\d	01.						
Date	10-14-0	4		•					
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Roger Hylton									
Signature		F			Date	10	15/04		

Application Number